

BOARD OF PSYCHOLOGY
Overview of Enforcement Activity

License & Registration*	06/07	07/08	08/09	09/10	10/11**
Psychologist	16,565	16,860	17,391	21,003	21,317
Registered Psychologist	374	382	329	321	338
Psychological Assistant	1452	1453	1384	1494	1669
Cases Opened	06/07	07/08	08/09	09/10	10/11*
Complaints Received	517	606	786	712	424
Criminal Conviction Reports Received	20	29	72	54	25
Investigations Opened	48	71	93	80	51
Cases sent to AG/DA	37	28	22	39	19
Filings					
Accusations	20	22	13	23	13
Statement of Issues	8	5	9	8	3
Petitions to Compel Psych. Exam	0	0	0	0	0
Petitions for Penalty Relief	3	4	3	1	0
Petition for Reinstatement	2	0	1	1	0
Petitions for Reconsideration	0	1	0	1	0
Filing Withdrawals/Dismissals					
Accusations Withdrawn	3	2	0	0	1
Accusations Dismissed	1	1	0	1	0
Statement of Issues Withdrawn	4	1	5	0	2
Citations					
Citations Ordered	3	4	5	10	4
Decisions (Penalty)					
Revocations	2	3	1	2	2
Revocation, Stayed, Probation	6	8	3	4	10
Revocation, Stayed, Probation, Susp.	1	0	3	0	0
Voluntary Surrender	8	3	8	3	2
Statement of Issues-License Denied***	0	0	1	1	0
Statement of Issues-License Granted***	1	6	4	5	1
Petitions for Penalty Relief Denied***	2	0	1	0	0
Petitions for Penalty Relief Granted***	1	1	3	1	1
Petition for Reinstatement Granted***	0	0	1	0	0
Petition for Reinstatement Denied***	2	0	0	0	1
Reconsiderations Denied***	0	1	0	0	0
Reconsiderations Granted***	0	0	0	1	0
Reprimands	2	0	0	0	1
Orders Compelling Psych. Exam***	0	0	0	0	0
ISO/TRO Ordered	1	1	3	4	1
Other	1	1	1	0	0
Total Decisions	23	24	28	21	19
Decisions (Violation Type)					
Gross Negligence/Incompetence	4	5	5	2	3
Improper Supervision	0	0	0	0	1
Repeat Negligent Acts	0	0	0	0	0
Self Abuse of Drugs or Alcohol	0	1	2	2	3
Dishonesty/Fraud	1	0	0	3	0
Mental Illness	1	1	1	0	0
Aiding Unlicensed Practice	0	0	0	0	0
General Unprofessional Conduct	0	2	1	1	1
Probation Violation	1	2	1	0	0
Sexual Misconduct	2	2	6	1	2
Conviction of a Crime	7	8	4	6	5
Discipline by Another State Board	4	0	1	0	1
Other	0	0	0	0	0

*Doesn't include cancelled, revoked, or surrendered license/registration

** Numbers from 7/1/010 thru 01/26/11

*** Not included in Decisions (Violation Type)

EXPLANATION OF LANGUAGE

Below are broad, brief descriptions of statistical categories contained in the Board of Psychology's Overview of Enforcement Activity

Cases Opened: This category includes 1) all **complaints** that were received in the fiscal year indicated, regardless of source; 2) all **investigations** that were opened during that fiscal year as a result of a complaint filed with the board, regardless of when the complaint was received; and 3) all cases that were referred, during that fiscal year, to the **Office of the Attorney General or the District Attorney's Office**, regardless of when the complaint was originally received.

Investigation – After "informal" staff review, the complaint is "formally" investigated by in-house staff or by investigators (peace officers) of the Medical Board of California.

Filings:

Accusation – Formal statement of charges against licensee.

Statement of Issues – Formal statement of reasons why an application for license/registration should be denied.

Petition to Compel Psychological - Request to compel licensee to submit a psychological examination.

Petition for Penalty Relief – A process whereby one can petition for reinstatement of their revoked or surrender license or request early termination of probation or modification of a condition of probation.

Petition for Reconsideration – A process whereby one can petition the Board to reconsider a final decision of the Board.

Accusation/Statement of Issues Withdrawn – The formal statement of charges/reasons is withdrawn by the board.

Accusation Dismissed – The case is dismissed after a hearing has been held and the dismissal decision is adopted by the Board.

Statement of Issues – License Granted – After a hearing, or as a result of a settlement, an applicant is granted a license or registration with or without terms and conditions (i.e. probation, coursework).

Petitions for Penalty Relief Granted – A Petition for Reinstatement of a license or registration is granted by the Board, or a Petition for Termination or Modification of Probation is granted by the Board.

Reconsiderations Granted – A Petition for Reconsideration is granted by the Board.

Citations Ordered: This tool is used for various minor violations such as false advertising or misrepresentation. Citations and fines are public information but are not considered to be disciplinary actions.

Decision (Penalty): - This category indicated the actual disciplinary action (penalty) rendered against the licensee or registrant that was ordered by the board in the fiscal year indicated.

Revoked - The license is canceled, voided, rescinded. The right to practice is ended.

Revoked, Stayed, Probation - "Stayed" means the revocation is postponed. Professional practice may continue so long as the licensee complies with specific probationary terms and conditions. Violation of probation may result in the revocation that was postponed.

Suspension - The licensee is prohibited from practicing for a specific period of time.

License Surrender - While charges are still pending, the licensee turns in the license - subject to acceptance by the board. The right to practice is ended.

Reprimands/Reprovals - An option that is most commonly negotiated between the Board, the licensee, and his/her counsel. Such a letter is an option in settlement of an Accusation. Typically, the letter of reprimand or reproof is a viable option if the violations alleged are relatively minor and no patient harm occurred. It includes a summary of the allegations, acknowledges the impropriety of the acts summarized and can include mandated coursework that the licensee must take, cost recovery to the Board for investigation and essentially may include any other term or condition listed in the Board's Disciplinary Guidelines.

ISO – Interim Suspension Order – When a licensee or registrant is required to immediately suspend the practice of psychology. This occurs through the hearing process in cases where the evidence indicates that the licensee is likely to engage in behaviors that present an immediate threat to the public health, safety and welfare.

Other - Includes atypical decisions that do not fall into the above categories.

Decisions (Violation Type): This category indicates each violation that a licensee or registrant committed that resulted in the disciplinary action that was rendered during the fiscal year indicated. These violations are grounds for disciplinary action as found in the *Laws and Regulations Relating to the Practice of Psychology*.

Monthly Enforcement Report to DCA

BOARD OF PSYCHOLOGY

Complaint Intake

Complaints Received by the Program.

Measured from date received to assignment for investigation or closure without action.

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Complaints												
Received	43	53	69	62	65	44	60	48	58	59	47	64
Closed	5	8	11	13	10	5	11	12	5	24	8	14
Referred to Investigation	24	54	62	41	63	33	50	39	47	37	33	48
Average Days to Close	12	10	6	6	6	5	5	5	6	10	8	4
Pending	15	6	2	10	2	8	7	4	10	10	14	15

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Convictions/Arrest Reports												
Received	4	3	2	5	6	3	5	2	4	5	4	5
Closed	4	1	4	4	7	3	3	4	4	3	5	6
Average Days to Close	2	15	7	5	8	5	4	14	6	11	8	3
Pending	0	2	0	1	0	0	2	0	0	2	1	0

Investigation

Complaints investigated by the program whether by desk investigation or by field investigation.

Measured by date the complaint is received to the date the complaint is closed or referred for enforcement action.

If a complaint is investigated by more than one approach (desk, field non-sworn, etc.) then, categorize it by the last investigator category.

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Desk Investigation												
Opened	28	55	66	45	70	36	51	39	51	40	37	54
Closed	110	49	77	42	54	45	48	38	42	51	41	32
Average Days to Complete	132	74	58	54	49	39	44	40	33	33	53	27
Pending	76	79	65	69	73	62	59	61	61	47	33	50

[illegible]

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Field Investigation (Sworn)												
Opened	4	3	3	3	13	7	8	7	11	4	7	6
Closed	3	12	5	7	13	6	11	6	5	13	7	7
Average Days to Complete	453	694	528	441	679	557	617	416	373	346	365	609
Pending	92	83	81	77	78	78	75	77	83	75	75	74

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
All Investigations												
Closed	113	61	82	49	67	51	59	44	47	64	39	39
Average Days to Complete	140	196	86	109	171	100	151	91	69	96	131	131
Pending	167	161	145	146	151	140	134	138	144	122	124	124

Enforcement Actions

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Cases Referred to AG	1	4	0	4	4	4	8	4	1	4	0	1
Cases Pending at AG	30	33	32	34	37	36	43	46	44	44	41	39
SOIs Filed	0	1	0	1	2	1	0	0	1	0	1	0
Accusations Filed	1	1	4	2	0	3	1	3	3	2	2	0
Proposed/Default Decisions	0	0	0	0	0	0	1	0	1	0	0	0
Stipulations Adopted	0	1	0	1	1	1	0	1	2	1	2	1

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Disciplinary Orders												
Final Orders (Proposed Decisions Adopted, Board Decisions, Stipulations)	0	1	0	1	1	1	1	1	3	1	2	1
Average Days to Complete*	0	1355	0	410	498	563	1361	903	999	1181	618	1232
Interim Suspension Orders	1	0	0	0	0	0	0	0	1	0	0	0

	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
Citations												
Final Citations	2	0	0	0	1	0	0	0	0	0	3	1
Average Days to Complete*	61	0	0	0	37	0	0	0	0	0	192	1053

* - The number of days from receipt of complaint to the effective date of the citation or the effective date of the disciplinary order.