



## MEMORANDUM

**Date** January 27, 2014  
**To** Board of Psychology Members  
**From** Gina Bayless  
Enforcement Coordinator  
DCA/California Board of Psychology  
**Subject** **Enforcement Program Report**

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On October 9, 2013, the Board converted to a new database, BreZE. Staff is continuing to work with BreZE staff to complete database improvements and resolve issues.

Please find attached the Overview of Enforcement Activity displaying Complaint, Investigation, and Discipline statistics for the current fiscal year to date. Unfortunately, due to conversion to BreZE, statistical data may be limited or not entirely accurate. Some of the statistics provided in the attached report were gathered manually, and the data obtained from BreZE reports has not been validated.

The Board hired a new enforcement analyst, Ashley Castleberry, who started on December 9, 2013. Ms. Castleberry will be performing desk investigations, issuing citations and processing formal discipline actions. This position was vacant from September 9, 2013 to December 9, 2013. Existing enforcement staff absorbed the workload during the vacancy which resulted in extended processing times for complaint, investigation and discipline processes.

**Action Requested:**  
No action requested

**BOARD OF PSYCHOLOGY**  
**Overview of Enforcement Activity**

<b>License &amp; Registration*</b>	<b>08/09</b>	<b>09/10</b>	<b>10/11</b>	<b>11/12</b>	<b>12/13</b>	<b>13/14**</b>
Psychologist	20,307	21,019	21,527	22,020	22,688	22,749
Registered Psychologist	324	320	312	320	349	301
Psychological Assistant	1397	1429	1507	1635	1727	1687
<b>Cases Opened</b>	<b>08/09</b>	<b>09/10</b>	<b>10/11</b>	<b>11/12</b>	<b>12/13</b>	<b>13/14**</b>
Complaints Received	786	712	785	747	707	435
Criminal Conviction Reports Received	72	54	48	70	42	72
Investigations Opened	88	79	83	107	73	****
Cases sent to DA	2	3	3	5	6	****
Cases sent to AG	23	38	37	34	38	****
<b>Filings</b>						
Accusations	8	23	21	27	31	16
Statement of Issues	9	8	4	4	2	3
Petition to Revoke Probation	0	0	0	3	1	2
Petitions to Compel Psych. Exam	0	0	1	1	0	0
Petitions for Penalty Relief	3	1	0	1	1	2
Petition for Reinstatement	1	1	0	2	1	0
Petitions for Reconsideration	0	1	0	0	2	0
<b>Filing Withdrawals/Dismissals</b>						
Accusations Withdrawn	0	0	2	0	0	1
Accusations Dismissed	0	1	0	1	1	0
Statement of Issues Withdrawn	5	0	2	1	0	0
<b>Citations</b>						
Citations Ordered	5	10	9	18	10	****
<b>Decisions (Penalty)</b>						
Revocations	1	3	2	4	2	3
Revocation, Stayed, Probation	7	9	12	11	11	9
Revocation, Stayed, Probation, Susp.	3	0	0	0	0	0
Voluntary Surrender	8	3	2	11	10	4
Reprimands	0	0	1	2	0	2
ISO/TRO/ASO Ordered	3	4	1	4	3	2
Statement of Issues-License Denied	1	1	0	1	1	0
Statement of Issues-License Granted***	4	5	4	3	6	2
Petitions for Penalty Relief Denied***	1	0	0	0	0	0
Petitions for Penalty Relief Granted***	3	1	1	1	1	1
Petition for Reinstatement Granted***	1	0	0	0	1	0
Petition for Reinstatement Denied***	0	0	1	1	1	0
Reconsiderations Denied***	0	0	1	0	1	0
Reconsiderations Granted***	0	1	0	0	1	0
Orders Compelling Psych. Exam***	0	0	0	1	0	0
Other	2	1	2	0	1	0
<b>Total Decisions</b>	<b>24</b>	<b>22</b>	<b>21</b>	<b>34</b>	<b>29</b>	<b>20</b>
<b>Decisions (Violation Type)</b>						
Gross Negligence/Incompetence	5	4	4	3	5	3
Improper Supervision	0	0	1	0	0	1
Repeat Negligent Acts	0	0	0	1	0	3
Self Abuse of Drugs or Alcohol	2	3	3	12	1	5
Dishonesty/Fraud	0	3	0	2	2	1
Mental Illness	1	0	0	0	2	0
Aiding Unlicensed Practice	0	0	0	0	0	0
General Unprofessional Conduct	1	1	1	0	2	3
Probation Violation	1	0	0	2	1	1
Sexual Misconduct	6	1	2	6	9	1
Conviction of a Crime	7	9	8	5	5	2
Discipline by Another State Board	1	0	1	1	0	0
Other	0	0	0	0	0	0

\*Doesn't include cancelled, revoked, or surrendered license/registration

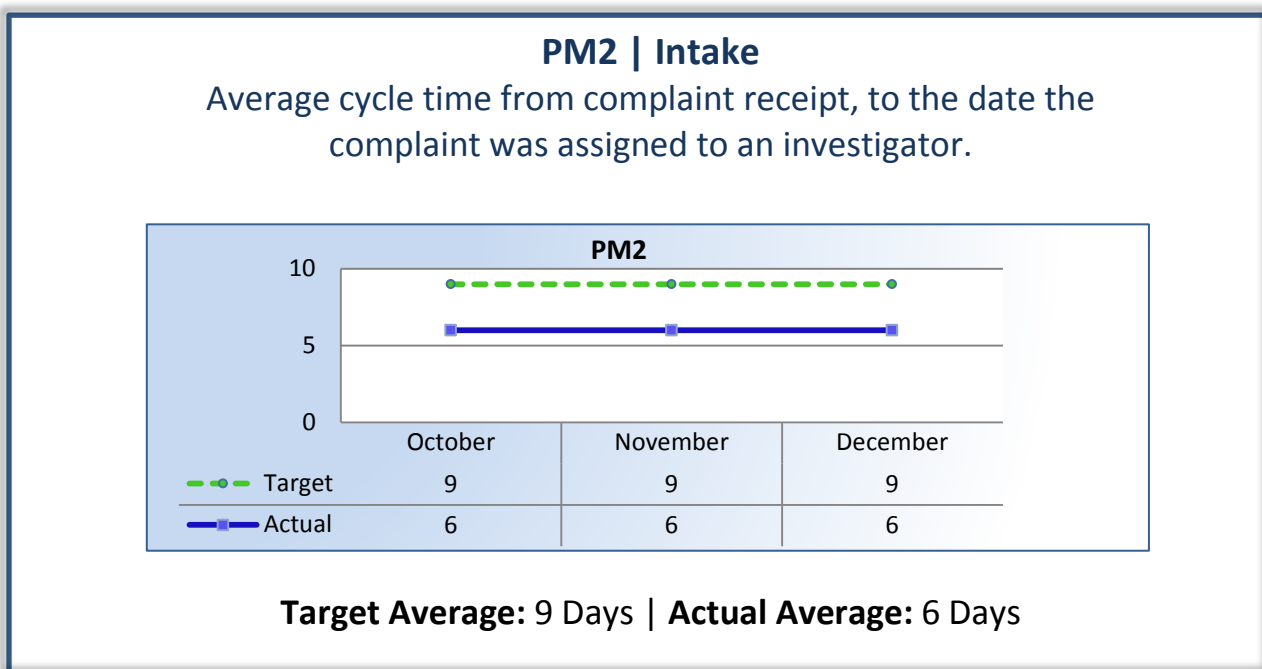
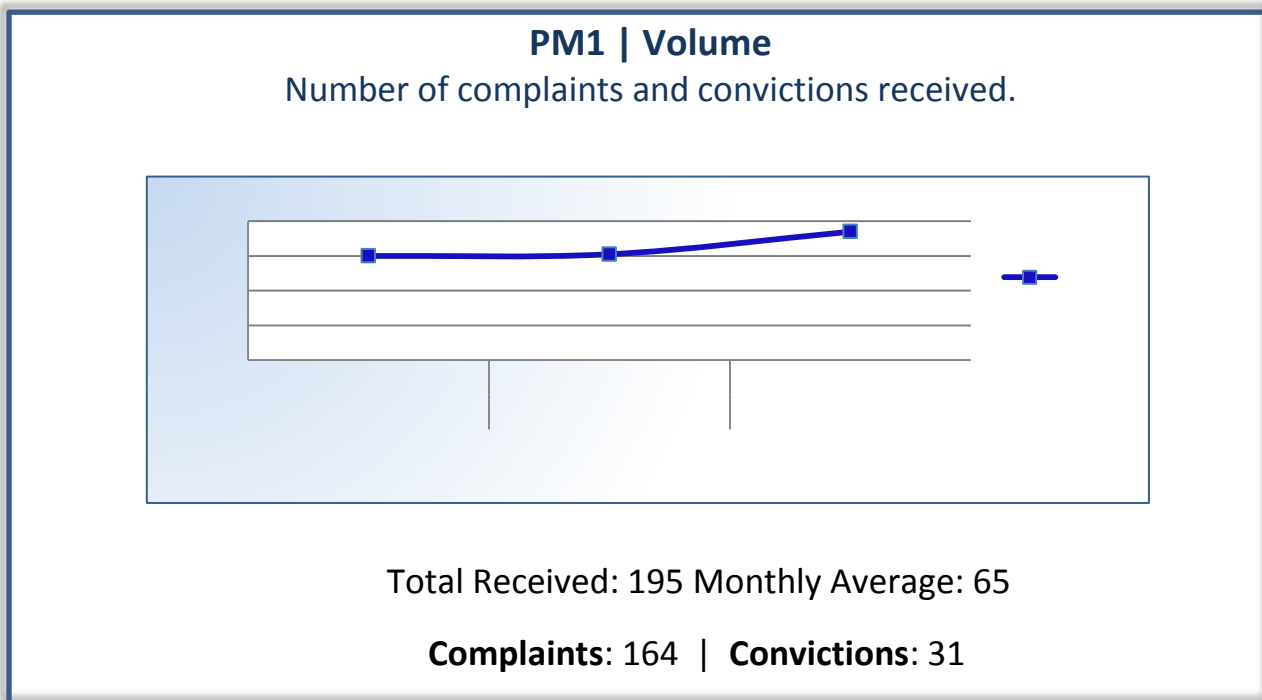
\*\* Numbers from 7/1/2013 thru 01/24/2014

\*\*\* Not included in Total Decisions or Violation Type

## Performance Measures

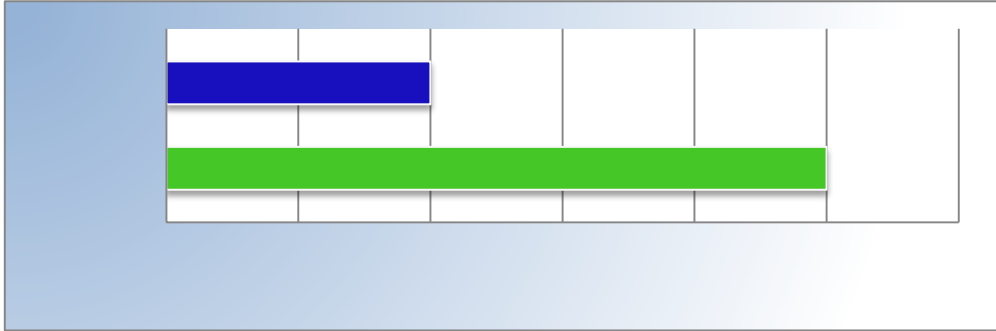
### Q2 Report (October - December 2013)

To ensure stakeholders can review the Board's progress toward meeting its enforcement goals and targets, we have developed a transparent system of performance measurement. These measures will be posted publicly on a quarterly basis.



### PM3 | Intake & Investigation

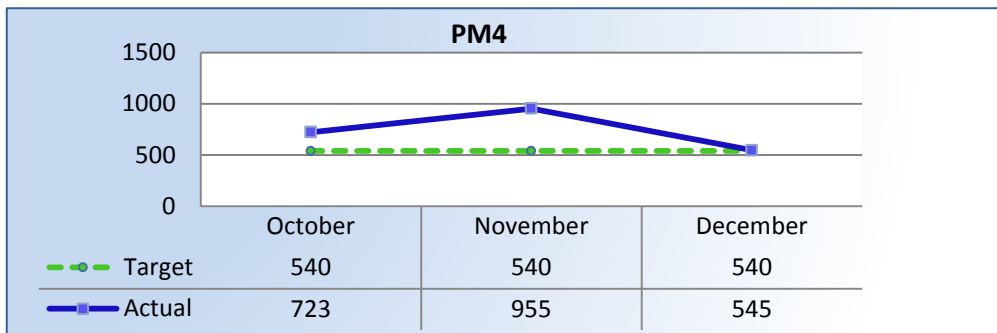
Average cycle time from complaint receipt to closure of the investigation process. Does not include cases sent to the Attorney General or other forms of formal discipline.



**Target Average: 80 Days | Actual Average: 86 Days**

### PM4 | Formal Discipline

Average number of days to complete the entire enforcement process for cases resulting in formal discipline. (Includes intake and investigation by the Board and prosecution by the AG).



**Target Average: 540 Days | Actual Average: 780 Days**

